# COMP\_SCI 497: Theory+X

#### Jason Hartline

Computer Science Northwestern University

hartline@northwestern.edu

Week 1

# Theoretical Computer Science: understand computer systems

- mostly prescriptive.
- quantitative focus.
- handles complexity, information

#### **Economic Theory**

understand economic systems

- mostly descriptive.
- qualitative focus.
- handles incentives, information.

# Theoretical Computer Science: understand computer systems

- mostly prescriptive.
- quantitative focus.
- handles complexity, information

#### **Economic Theory**

understand economic systems

- mostly descriptive.
- qualitative focus.
- handles incentives, information.

A Grand Challenge: understand how to guide systems to good outcomes

# Theoretical Computer Science: understand computer systems

- mostly prescriptive.
- quantitative focus.
- handles complexity, information

#### **Economic Theory**

understand economic systems

- mostly descriptive.
- qualitative focus.
- handles incentives, information.

A Grand Challenge: understand how to guide systems to good outcomes

• examples: scientific discovery, economies, political systems, biological evolution, etc.

# Theoretical Computer Science: understand computer systems

- mostly prescriptive.
- quantitative focus.
- handles complexity, information

#### **Economic Theory**

understand economic systems

- mostly descriptive.
- qualitative focus.
- handles incentives, information.

#### A Grand Challenge: understand how to guide systems to good outcomes

- examples: scientific discovery, economies, political systems, biological evolution, etc.
- primitive: local/individual/strategic optimization

# Theoretical Computer Science: understand computer systems

- mostly prescriptive.
- quantitative focus.
- handles complexity, information

#### **Economic Theory**

understand economic systems

- mostly descriptive.
- qualitative focus.
- handles incentives, information.

#### A Grand Challenge: understand how to guide systems to good outcomes

- examples: scientific discovery, economies, political systems, biological evolution, etc.
- primitive: local/individual/strategic optimization
- objective: good global outcomes

# Theoretical Computer Science: understand computer systems

- mostly prescriptive.
- quantitative focus.
- handles complexity, information

#### **Economic Theory**

understand economic systems

- mostly descriptive.
- qualitative focus.
- handles incentives, information.

#### A Grand Challenge: understand how to guide systems to good outcomes

- examples: scientific discovery, economies, political systems, biological evolution, etc.
- primitive: local/individual/strategic optimization
- objective: good global outcomes

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

#### Weekly Topics:

Jan 14	Redistribution [Taxation]	Feb 11	Fairness & Bias
Jan 21	Privacy	Feb 18	Evolution
Jan 28	[Online] Commitment	Feb 25	Econometrics of Learning
Feb 4	Adaptive Data Analysis	Mar 3:	Brain [Computation]

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

#### Weekly Topics:

Jan 14	Redistribution [Taxation]	Feb 11	Fairness & Bias
Jan 21	Privacy	Feb 18	Evolution
Jan 28	[Online] Commitment	Feb 25	<b>Econometrics of Learning</b>
Feb 4	Adaptive Data Analysis	Mar 3:	Brain [Computation]

#### **Topic Selection:**

- recent successes.
- new opportunities.

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

#### Weekly Topics:

```
Jan 14Redistribution [Taxation]Feb 11Fairness & BiasJan 21PrivacyFeb 18EvolutionJan 28[Online] CommitmentFeb 25Econometrics of LearningFeb 4Adaptive Data AnalysisMar 3:Brain [Computation]
```

#### **Topic Selection:**

- recent successes. [all others]
- new opportunities. [redistribution, commitment]

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

#### Weekly Topics:

```
Jan 14Redistribution [Taxation]Feb 11Fairness & BiasJan 21PrivacyFeb 18EvolutionJan 28[Online] CommitmentFeb 25Econometrics of LearningFeb 4Adaptive Data AnalysisMar 3:Brain [Computation]
```

#### **Topic Selection:**

- recent successes. [all others]
- new opportunities. [redistribution, commitment]

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

#### Weekly Topics:

Jan 14	Redistribution [Taxation]	Feb 11	Fairness & Bias
Jan 21	Privacy	Feb 18	Evolution
Jan 28	[Online] Commitment	Feb 25	<b>Econometrics of Learning</b>
Feb 4	Adaptive Data Analysis	Mar 3:	Brain [Computation]

#### **Topic Selection:**

- recent successes. [all others]
- new opportunities. [redistribution, commitment]

**Some topics not covered:** cryptocurrency, fake news, authenticity among deepfakes, cumulative advantage in academia, money in politics, money in journalism, signaling and ML, future of work, academic processes, ...

#### Coursework:

- lead discussion of weekly topic. [details below]
- survey paper on topic area.
- research proposal on topic area (with preliminary results).
- final presentation [week 10]

#### Coursework:

- lead discussion of weekly topic. [details below]
- survey paper on topic area.
- research proposal on topic area (with preliminary results).
- final presentation [week 10]

#### Weekly Discussions:

- post guide to readings (presenters, one week prior)
- online discussion of papers (everyone)
- practice presentation (presenters, week before)
- in class presentation and lead of discussion (presenters)
- opost summary of class discussion (presenters)

#### Coursework:

- lead discussion of weekly topic. [details below]
- survey paper on topic area.
- research proposal on topic area (with preliminary results).
- final presentation [week 10]

#### Weekly Discussions:

- post guide to readings (presenters, one week prior)
- online discussion of papers (everyone)
- practice presentation (presenters, week before)
- in class presentation and lead of discussion (presenters)
- post summary of class discussion (presenters)

Topic Signup: online survey. [Abebe, Cole, Gkatzelis, Hartline SODA 2020]

# Week 2: Redistribution [Taxation]

Presenters: Yiding Feng & Yingkai Li

- symmetric concave utility; asymmetric production cost.
- no redistribution ⇒ high total wealth, low total utility.
- redistribution distorts incentives ⇒ low total wealth, low total utility
- are there simple near optimal policies?

# Week 2: Redistribution [Taxation]

Presenters: Yiding Feng & Yingkai Li

#### **Summary:**

- symmetric concave utility; asymmetric production cost.
- no redistribution ⇒ high total wealth, low total utility.
- redistribution distorts incentives ⇒ low total wealth, low total utility
- are there simple near optimal policies?

- T. Piketty, E. Saez, Optimal labor income taxation. In Handbook of public economics 2013.
- Z. Abrams, Revenue maximization when bidders have budgets. SODA 2006.

# Week 3: Privacy

- differential privacy has broad impact across computer science, statistics, society.
- [many applications: adaptive data analysis, fairness, etc.]
- how did this field start?
- what's the role of game theory?

# Week 3: Privacy

#### **Summary:**

- differential privacy has broad impact across computer science, statistics, society.
- [many applications: adaptive data analysis, fairness, etc.]
- how did this field start?
- what's the role of game theory?

- I. Dinur, K. Nissim, K. Revealing information while preserving privacy. PODS 2003. PODS Test-of-Time Award 2013.
- C. Dwork, F. McSherry, K. Nissim, A. Smith, Calibrating noise to sensitivity in private data analysis. TCC 2006. TCC Test of Time Award 2016. Godel Prize 2017.
- R. Gradwohl, and R. Smorodinsky. Privacy, Patience, and Protection. SSRN 2019.

# Week 4: [Online] Commitment

- principal and agent interact repeatedly.
- principal's payoff with commitment ≫ payoff without commitment.
- is there a role for privacy? or anonymity?

# Week 4: [Online] Commitment

#### **Summary:**

- principal and agent interact repeatedly.
- principal's payoff with commitment  $\gg$  payoff without commitment.
- is there a role for privacy? or anonymity?

- N. Immorlica, B. Lucier, E. Pountourakis, S. Taggart, **Repeated** sales with multiple strategic buyers. EC 2017.
- L. Doval, V. Skreta, Mechanism design with limited commitment. ArXiv 2018.
- L. Doval, V. Skreta, Optimal mechanism for the sale of a durable good. SSRN 2019.
- P. Tang, Y. Zeng, **The price of prior dependence in auctions.** EC 2018.

# Week 5: Adaptive Data Analysis

- scientific discovery is a process.
- false discovery can result from adaptive data analysis.
- restrict or modify process to prevent false discovery.
- what is role of incentives?

# Week 5: Adaptive Data Analysis

#### **Summary:**

- scientific discovery is a process.
- false discovery can result from adaptive data analysis.
- restrict or modify process to prevent false discovery.
- what is role of incentives?

- C. Jung, K. Ligett, S. Neel, A. Roth, S. Sharifi-Malvajerdi, M. Shenfeld, A New Analysis of Differential Privacy's Generalization Guarantees. ArXiv 2019.
- B.E. Woodworth, V. Feldman, S. Rosset, N. Srebro, N. The everlasting database: statistical validity at a fair price. NeurIPS 2018.

### Week 6: Fairness & Bias

- algorithms have bias if data has bias.
- various definitions of fairness.
- what are the right definitions?
- what is role of incentives? endogenous features?

### Week 6: Fairness & Bias

#### Summary:

- algorithms have bias if data has bias.
- various definitions of fairness.
- what are the right definitions?
- what is role of incentives? endogenous features?

- C. Dwork, M. Hardt, T. Pitassi, O. Reingold, R. Zemel, **Fairness through awareness.** ITCS 2012.
- S. Corbett-Davies, S. Goel, The measure and mismeasure of fairness: A critical review of fair machine learning. ArXiv 2018.
  H. Heidari, M. Loi, K.P. Gummadi, A. Krause, A Moral Framework for Understanding Fair ML through Economic Models of Equality of Opportunity. FAT\* 2019.

#### Week 7: Evolution

- algorithmic comparison of sexual vs. asexual reproduction.
- asexual: maximizes fitness; sexual: maximizes robustness.

#### Week 7: Evolution

#### **Summary:**

- algorithmic comparison of sexual vs. asexual reproduction.
- asexual: maximizes fitness; sexual: maximizes robustness.

- A. Livnat, C. Papadimitriou, Sex as an Algorithm: The Theory of Evolution Under the Lens of Computation. CACM 2016.
- A. Livnat, C. Papadimitriou, J. Dushoff, M.W. Feldman, A mixability theory for the role of sex in evolution. PNAS 2008.
- E. Chastain, A. Livnat, C. Papadimitriou, U. Vazirani, **Algorithms**, games and evolution. PNAS 2014.

## Week 8: Econometrics of Learning

#### **Summary:**

• infererence and counterfactual estimation for "no regret" agents

## Week 8: Econometrics of Learning

#### **Summary:**

• infererence and counterfactual estimation for "no regret" agents

- D. Nekipelov, V. Syrgkanis, E. Tardos, Econometrics for learning agents. EC 2015. Best Paker.
- D. Hoy, D. Nekipelov, V. Syrgkanis, Efficiency Guarantees from Data. ArXiv 2015.
- A. Alaei, A. Badanidiyuru, M. Mahdian, S. Yazdanbod, Response Prediction for Low-Regret Agents. WINE 2019.

# Week 9: Brain [Computation]

- computational perspective on neuroscience.
- why is the brain good at what it does?

# Week 9: Brain [Computation]

#### **Summary:**

- computational perspective on neuroscience.
- why is the brain good at what it does?

- W. Maass, C. Papadimitriou, S. Vempala, R. Legenstein, R. Brain computation: a computer science perspective. Computing and Software Science, 2019.
- L. Valiant, Memorization and association on a realistic neural model. Neural computation 2005.
- C. Papadimitriou, S. Vempala, Random projection in the brain and computation with assemblies of neurons. ITCS 2019.
- S. Vempala, C. Papadimitriou, D. Mitropolsky, M. Collins, W. Maass, **Brain computation by assemblies of neurons.** BioRxiv 2019.

#### Coursework:

- lead discussion of weekly topic. [details below]
- survey paper on topic area.
- research proposal on topic area (with preliminary results).
- of final presentation [week 10]

#### Weekly Discussions:

- post guide to readings (presenters, one week prior)
- online discussion of papers (everyone)
- practice presentation (presenters, week before)
- in class presentation and lead of discussion (presenters)
- post summary of class discussion (presenters)

Topic Signup: online survey. [Abebe, Cole, Gkatzelis, Hartline SODA 2020]

**Theory+X:** Combine TCS and TE perspectives to confront big questions.

#### Weekly Topics:

Jan 14	Redistribution [Taxation]	Feb 11	Fairness & Bias
Jan 21	Privacy	Feb 18	Evolution
Jan 28	[Online] Commitment	Feb 25	Econometrics of Learning
Feb 4	Adaptive Data Analysis	Mar 3:	Brain [Computation]

#### **Topic Selection:**

- recent successes. [all others]
- new opportunities. [redistribution, commitment]

**Some topics not covered:** cryptocurrency, fake news, authenticity among deepfakes, cumulative advantage in academia, money in politics, money in journalism, signaling and ML, future of work, academic processes, ...